

# Class Tutorial 1

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Short review on DP - [WikipediaDP](#)

## 1. Rod Cutting (Knapsack variant)

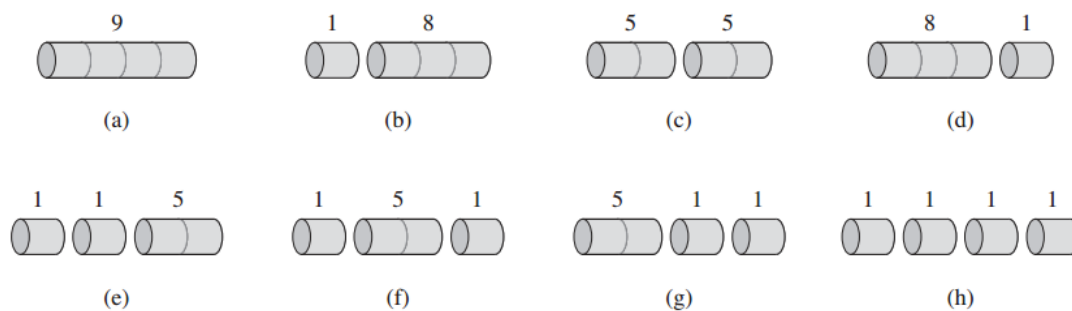
(From Introduction to Algorithms)

A company buys long steel rods and cuts them into shorter rods. The price table for the shorter rods is as follows:

Length $n$	1	2	3	4	5	6	7	8	9	10
Price $p_n$	1	5	8	9	10	17	17	20	24	30

The cost of making a cut is zero. Given a (long) rod of length  $n$ , the problem is how to cut it in order to maximize the revenue  $r_n$ .

Example:  $n = 4$



a. The trivial solution: enumerate all possibilities. How many different cuts exist for a rod of length  $n$ ?

b. A recursive solution: Given the maximal revenues  $r_1, \dots, r_{n-1}$  compute the revenue  $r_n$ .

Write down a recursive algorithm for the problem, and compute its time and space complexity.

c. Now making a cut costs  $c$ . Modify the algorithm for this case.

### Solution:

a.  $2^{n-1}$ , since each segment boundary can be cut or not.

b.  $r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$ , since each cut can be viewed as a composition of a piece of length  $i$ , and all the other pieces.

Proof: Assume exists a revenue  $\tilde{r}$  such that  $\tilde{r} > r_n$ . This would mean that for any  $i \in \{1, \dots, n-1\}$  it holds that  $\tilde{r} - p_i > r_{n-i}$ , which is a contradiction. We assumed that for any  $i \in \{1, \dots, n-1\}$ ,  $r_{n-i}$  is the maximal revenue.

The complexity time of the algorithm is  $O(n^2)$  and  $O(n)$  space algorithm.

**BOTTOM-UP-CUT-ROD**( $p, n$ )

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1  let  $r[0..n]$  be a new array
2   $r[0] = 0$ 
3  for  $j = 1$  to  $n$ 
4       $q = -\infty$ 
5      for  $i = 1$  to  $j$ 
6           $q = \max(q, p[i] + r[j-i])$ 
7       $r[j] = q$ 
8  return  $r[n]$ 

```

c. The modified equation is  $r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i} - c \cdot \mathbf{1}_{i < n})$ .

## 2. Longest Common Subsequence

(From Introduction to Algorithms)

Given a sequence  $X = \langle x_1, \dots, x_m \rangle$ , we say that the sequence  $Z = \langle z_1, \dots, z_k \rangle$  is a subsequence of  $X$  if there exists a strictly increasing sequence  $\langle i_1, \dots, i_k \rangle$  such that for all  $j = 1, \dots, k$  we have  $X_{i_j} = Z_j$ . For example,  $Z = \langle B, C, D, B \rangle$  is a subsequence of  $X = \langle A, B, C, B, D, A, B \rangle$ .

Given two sequences  $X, Y$  we say that  $Z$  is a *common subsequence* of  $X$  and  $Y$  if  $Z$  is a subsequence of both  $X$  and  $Y$ . In the longest-common-subsequence (LCS) problem we are given two sequences  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ , and we need to find the maximum length common subsequence of  $X$  and  $Y$ .

a. Warm-up: find the LCS of  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .

b. Brute-force algorithm: enumeration of all subsequences. How many subsequences does  $X$  have? What is the complexity of such an algorithm?

c. Let  $X_i$  denote the  $i$ 'th prefix of  $X$ :  $X_i = \langle x_1, \dots, x_i \rangle$ . Prove the following theorem:

Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be sequences, and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

d. Dynamic programming algorithm: let  $c[i, j]$  denote the length of the LCS of  $X_i$  and  $Y_j$ .

Write a recursive formula for  $c[i, j]$ . Derive an algorithm for the length of the LCS of  $X$  and  $Y$ . What is its complexity?

e. (Homework) derive the actual LCS from  $c[i, j]$ .

### Solution:

a. For example,  $\langle B, C, B, A \rangle$  or  $\langle B, D, A, B \rangle$ .

b.  $2^m$

c.

**Proof** (1) If  $z_k \neq x_m$ , then we could append  $x_m = y_n$  to  $Z$  to obtain a common subsequence of  $X$  and  $Y$  of length  $k + 1$ , contradicting the supposition that  $Z$  is a *longest* common subsequence of  $X$  and  $Y$ . Thus, we must have  $z_k = x_m = y_n$ . Now, the prefix  $Z_{k-1}$  is a length- $(k - 1)$  common subsequence of  $X_{m-1}$  and  $Y_{n-1}$ . We wish to show that it is an LCS. Suppose for the purpose of contradiction that there exists a common subsequence  $W$  of  $X_{m-1}$  and  $Y_{n-1}$  with length greater than  $k - 1$ . Then, appending  $x_m = y_n$  to  $W$  produces a common subsequence of  $X$  and  $Y$  whose length is greater than  $k$ , which is a contradiction.

(2) If  $z_k \neq x_m$ , then  $Z$  is a common subsequence of  $X_{m-1}$  and  $Y$ . If there were a common subsequence  $W$  of  $X_{m-1}$  and  $Y$  with length greater than  $k$ , then  $W$  would also be a common subsequence of  $X_m$  and  $Y$ , contradicting the assumption that  $Z$  is an LCS of  $X$  and  $Y$ .

(3) The proof is symmetric to (2). ■

d. Based on the previous theorem, we have

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases}$$

An  $O(mn)$  algorithm:

LCS-LENGTH( $X, Y$ )

```
1   $m = X.length$ 
2   $n = Y.length$ 
3  let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4  for  $i = 1$  to  $m$ 
5       $c[i, 0] = 0$ 
6  for  $j = 0$  to  $n$ 
7       $c[0, j] = 0$ 
8  for  $i = 1$  to  $m$ 
9      for  $j = 1$  to  $n$ 
10         if  $x_i == y_j$ 
11              $c[i, j] = c[i - 1, j - 1] + 1$ 
12              $b[i, j] = \text{“}\searrow\text{”}$ 
13         elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14              $c[i, j] = c[i - 1, j]$ 
15              $b[i, j] = \text{“}\uparrow\text{”}$ 
16         else  $c[i, j] = c[i, j - 1]$ 
17              $b[i, j] = \text{“}\leftarrow\text{”}$ 
18  return  $c$  and  $b$ 
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